

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patentees : Lindberg et al.  
Patent No. : 6,875,872 B1  
Issue Date : April 5, 2005  
Title : New Compounds  
Examiner : Celia Chang  
Group Art Unit : 1625

I hereby certify that this paper is being  
transmitted via the Electronic Filing System  
to the U.S. Patent and Trademark Office on  
the date indicated below.

/John M. Genova/ March 10, 2010  
Signature Date

**Mail Stop Petition**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RENEWED PETITION  
UNDER 37 C.F.R. § 1.183  
TO CORRECT ASSIGNEE'S NAME**

**I. Resubmission of Petition to Correct Assignee Name**

On April 6, 2005, Patentee's undersigned attorney filed a Petition under 37 C.F.R. §1.183 with the Office of Petitions to correct of the Assignee name as printed on the face of the referenced patent. Specifically, the Petition requested that the name of the Assignee be corrected from AstraZeneca (incomplete) to AstraZeneca AB (correct). The Petition was accompanied by copies of documents in support of the correction.

On September 26, 2005, the Office of Petitions issued a Decision dismissing the Petition with instructions that the "Petitioner should supply a Certificate of Correction form with the desired changes to be made". Based on this instruction, it appeared that the Office of Petitions was advising Patentee to submit a Request for a Certificate of Correction ("Request") to the attention of the Certificates of Correction Branch. Accordingly, on October 7, 2005, Patentee

filed a Request with the Certificates of Correction Branch (PTO mailroom date: October 11, 2005).

As there has been no action to date on the Request, Patentee's representative recently contacted the Certificates of Correction Branch and spoke with Ms. Eva James to obtain the status of the Request. Ms. James stated that there had been no action on the Request because no one had been assigned to process the Request. Consequently, and with no legitimate business reason, the Request has been languishing in the Office's files for almost four and a half years without having been reviewed or acted upon.

Ms. James also stated that the Office of Petitions should have advised Patentee to resubmit the Petition with a copy of a Certificate of Correction form containing the requested correction, rather than having denied the Petition and in the same Decision advising Patentee to supply a Certificate of Correction form.

Pursuant to the recommendations given by Ms. James, Patentee is resubmitting the Request for correction of the Assignee name as printed on the face of the patent. Patentee is also providing a copy of a Certificate of Correction Form PTO/SB/44 containing the requested correction.

In addition to the concurrently resubmitted Petition, Patentee filed by EFS on March 8, 2010 a separate Request for Certificate of Correction to correct typographical errors in the claims of the referenced patent.

## **II. Discussion**

The referenced patent is a continuation of U.S. Patent Application Serial No. 09/187,277, filed November 6, 1998, abandoned, which is a continuation of U.S. Patent Application Serial No. 08/899,931, filed July 24, 1997, abandoned, which is a continuation application of U.S. Patent Application Serial No. 08/376,512 (the "512 application"), filed January 23, 1995, now US 5,714,504 (the "504 patent"), which is a continuation-in-part application of U.S. Patent Application Serial No. 08/256,174, filed June 28, 1994, now US 5,693,818, filed as PCT/SE94/00509, filed May 27, 1994.

An Assignment was filed in the prior '512 application, now the '504 patent (hereinafter the "Assignment"). The Assignment is from the inventors to Astra Aktiebolag. The Assignment was recorded on April 17, 1995 at Reef 7438, Frame 0120. A copy of each of the Assignment

and the Notice of Recordation of the Assignment is enclosed. A change of corporate name from Astra Aktiebolag to AstraZeneca AB was recorded on September 25, 2000 at Reel 011219, Frame 0749. A copy of the Notice of Recordation of the name change is also enclosed.

The Assignment extends to any continuations of the '512 application and any patents issuing on such continuations. Accordingly, the Assignment extends to the referenced US 6,875,872. Therefore, the assignee of the referenced US 6,875,872 is AstraZeneca AB.

However, by inadvertence, the assignee's name was incompletely and erroneously furnished in item 3 of the Issue Fee Transmittal Form (PTOL-85B) as AstraZeneca rather than the correct and complete name AstraZeneca AB. Accordingly, it is hereby requested to waive 37 C.F.R. §3.81(a) to permit the complete and correct name of the assignee, AstraZeneca AB, to be provided after issuance of the referenced US 6,875,872.

Authorization is hereby given to charge the petition fee required by 37 C.F.R. §1.17(h) of \$130.00 as well any additional fee due in connection with this Petition to Deposit Account No. 23-1703.

Dated: March 10, 2010

Respectfully submitted,

/John M. Genova/

John M. Genova, Reg. No. 32,224

Customer No. 07470

Attorney Direct Dial: (212) 819-8832

## UNITED STATES PATENT AND TRADEMARK OFFICE

# CERTIFICATE OF CORRECTION

Patent No: 6,875,872 B1  
Issued: April 5, 2005  
Name of Patentee: Lindberg et al.

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

**Title page, Assignee Name at INID Code (73)**  
“AstraZeneca” should read --AstraZeneca AB--.

MAILING ADDRESS OF SENDER:

John M. Genova  
White & Case LLP  
1155 Avenue of the Americas  
New York, New York 10036

Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

(Certificate of Correction (PTO/SB/44) [14-3]—page 1 of 1)



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

DATE: 05/18/95

TO:

N03B

EDWARD V. FILARDI, ESQ.  
WHITE & CASE  
1155 AVENUE OF THE AMERICAS  
NEW YORK, NY 10036

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ASSIGNOR:

LINDBERG, PER LENNART

DOC DATE: 04/05/95

ASSIGNOR:

VON UNGE, SVERKER

DOC DATE: 04/06/95

RECORDATION DATE: 04/17/95    NUMBER OF PAGES 003    REEL/FRAME 7438/0120

DIGEST: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNEE:

ASTRA AKTIEBOLAG  
S-15185 SODERTALJE  
SWEDEN

SERIAL NUMBER    8-376512

FILING DATE    01/23/95

PATENT NUMBER

ISSUE DATE    00/00/00

  
EXAMINER/PARALEGAL

ASSIGNMENT BRANCH

ASSIGNMENT/CERTIFICATION SERVICES DIVISION

  
JUN 1995  
RECEIVED

A S S I G N M E N T

WHEREAS, WE, Per Lennart Lindberg and Sverker Von Unge hereinafter the INVENTOR(S), both being citizen(s) of Sweden, whose post office addresses are Gundas gata 40, Mölndal, Sweden S-431-51, and Alvägen 4, Fjärås, Sweden S-430-33, respectively, have made an invention entitled **NEW COMPOUNDS** for which we made an application for Letters Patent of the United States, and which application was filed on JANUARY 23, 1995 under application serial number 08/376,512.

WHEREAS, **ASTRA AKTIEBOLAG** (hereinafter ASSIGNEE), a corporation organized and existing under the laws of SWEDEN, and having a business address at S-151 85 Sodertalje, Sweden, is desirous of acquiring the entire right, title and interest in and to said invention and any improvements thereon, and in and to the said application for Letters Patent therefor, and any Letters Patent which may be obtained therefor;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that we, the said INVENTORS, for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States, to us in hand paid by said ASSIGNEE, and other valuable considerations unto us moving from said ASSIGNEE, at or before the ensealing and delivery of these presents, the receipt of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said ASSIGNEE, its successors and assigns, the entire right, title and interest in and to the said invention as set forth and described in the specification forming a part of the application for Letters Patent of the United States for **NEW COMPOUNDS** and any and all improvements thereon, and in and to said application and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements, including all priority rights under the Paris Convention, and any and all Letters Patent which may be granted in foreign countries therefor, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND we, the said INVENTORS, do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said ASSIGNEE, as the assignee of the entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its successors and assigns;

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REEL 7438 FRAME 122

AND we, the said INVENTORS, for the considerations aforesaid, do hereby covenant and agree to and with said ASSIGNEE, its successors and assigns, that we have the full power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right heretofore given, and that we, our executors or administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said ASSIGNEE, its successors and assigns, may be required or necessary to more effectively secure to and vest in said ASSIGNEE, its successors and assigns, the entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, benefits, privileges and advantages hereby sold, assigned, transferred and conveyed, and that we will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

IN WITNESS WHEREOF, we, the said INVENTORS, have hereunto set our hands and seals on the date below written.

April 5, 1995  
Date

Per Lennart Lindberg  
By: Per Lennart Lindberg

WITNESS:

Gunnela Lindgren  
Signature of Witness

Name and Address  
of Witness:  
Gunnela Lindgren  
Astra AB, S 151 85 Södertälje

Lena Nelenius  
Signature of Witness

Name and Address  
of Witness:  
Lena Nelenius  
Astra AB, S 151 85 Södertälje

April 6, 1995  
Date

Sverker von Unge  
By: Sverker Von Unge

WITNESS:

Gunnela Lindgren  
Signature of Witness

Name and Address  
of Witness:

As above

APR 17 95  
RECORDED  
PATENT AND TRADEMARK  
OFFICE

Lena Nelenius  
Signature of Witness

Name and Address  
of Witness:

As above



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JANUARY 12, 2001

PTAS



\*101564291A\*

WHITE & CASE LLP  
JOHN M. GENOVA  
PATENT DEPARTMENT  
1155 AVENUE OF THE AMERICAS  
NEW YORK, NEW YORK 10036

UNITED STATES PATENT AND TRADEMARK OFFICE  
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RECORDATION DATE: 09/25/2000

REEL/FRAME: 011219/0749  
NUMBER OF PAGES: 6

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ASTRA AKTIEBOLAG

DOC DATE: 02/15/2000

ASSIGNEE:

ASTRAZENECA AB  
S-151 85 SODERTALJE, SWEDEN

SERIAL NUMBER: 09187277  
PATENT NUMBER:

FILING DATE: 11/06/1998  
ISSUE DATE:

SERIAL NUMBER: 09419456  
PATENT NUMBER: 6143771

FILING DATE: 10/15/1999  
ISSUE DATE: 11/07/2000

SERIAL NUMBER: 08376512  
PATENT NUMBER: 5714504

FILING DATE: 01/23/1995  
ISSUE DATE: 02/03/1998

SERIAL NUMBER: 08256174  
PATENT NUMBER: 5693818

FILING DATE: 06/28/1994  
ISSUE DATE: 12/02/1997

WHITE & CASE LLP  
PATENT DEPARTMENT  
JAN 2 - 2001  
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011219/0749 PAGE 2

SERIAL NUMBER: 08833962  
PATENT NUMBER: 5877192

FILING DATE: 04/11/1997  
ISSUE DATE: 03/02/1999

PAULA MCCRAY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS